

06-21-07

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/006,794	RECEIVED JUN 27 2007 OFFICE OF PETITIONS
	Filing Date	December 4, 2001	
	First Named Inventor	Hitoshi Fukushima	
	Art Unit	2812	
	Examiner Name	Alexander G. Ghyka	
Total Number of Pages in This Submission	Attorney Docket Number		9319S-000311/CPA

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Certificate of Correction (in duplicate) w/copy of Notice of Recordation of Assignment and return postcard.
<div>Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Individual name	Harness, Dickey & Pierce, P.L.C.	Attorney Name	Reg. No.
		G. Gregory Schivley	27,382
Signature			
Date	June 20, 2007		

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	G. Gregory Schivley	Express Mail Label No.	EV 755419290 US
Signature		Date	June 20, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Effective on 12/08/2004
Fees pursuant to the Consolidated Appropriations Act, 2005 H.R. 4818**FEE TRANSMITTAL**
for FY 2007

Complete if Known

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 230.00

Application Number	10/006,794
Filing Date	December 4, 2001
First Named Inventor	Hitoshi Fukushima.
Examiner Name	Alexander G. Ghyka
Art Unit	2812
Attorney Docket No.	9319S-000311/CPA

RECEIVED**JUN 27 2007****OFFICE OF PETITIONS****METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify) : _____☒ Deposit Account Deposit Account Number: 08-0750 Deposit Account Name: Harness, Dickey & Pierce, PLC

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s)☒ Credit any overpayments

Under 37 CFR 1.16 and 1.17

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee(\$)	Fee(\$)	Small Entity Fee(\$)	Fee(\$)	Small Entity Fee(\$)	
Utility	300	150	500	250	200	100	_____
Design	200	100	100	50	130	65	_____
Plant	200	100	300	150	160	80	_____
Reissue	300	150	500	250	600	300	_____
Provisional	200	100	0	0	0	0	_____

2. EXCESS CLAIM FEES**Fee Description**

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Small Entity	
Fee (\$)	Fee (\$)
50	25
200	100
360	180
Multiple Dependent Claims	
Fee (\$)	Fee Paid (\$)
_____	_____

Total Claims	Extra Claims	Fee(\$)	Fee Paid (\$)
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_____ -20 or HP= 0 x _____ = 0

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee(\$)	Fee Paid (\$)
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_____ - 3 or HP= 0 x _____ = 0

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____	_____	_____ / 50 = 0	(round up to a whole number) x	= 0

4. OTHER FEE(S)

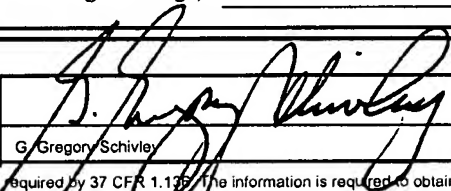
Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge) : #1811 Certificate of Correction \$100.00 and #1808 Petition Fee \$130.00

Fees Paid (\$)

230.00

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	27,382	Telephone	(248) 641-1600
Name (Print/Type)	G. Gregory Schivley	Date	June 20, 2007		

This collection of information is required by 37 CFR 1.135. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PATENT

Patent No.: 7,098,145
Issue Date: August 29, 2006
Application No.: 10/006,794
Filing Date: December 4, 2001
Applicants: Fukushima, et al.
Group Art Unit: 2812
Examiner: Alexander G. Ghyka
Title: FABRICATION OF SELF-ASSEMBLED MONOLAYERS
Attorney Docket: 9319S-000311/CPA

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JUN 27 2007

OFFICE OF PETITIONS

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

06/22/2007 RMEBRAHT 00000051 080750 7098145
01 FC:1464 130.00 DA
02 FC:1811 100.00 DA

PETITION TO STATE THE NAME OF SECOND ASSIGNEE
ON ISSUED PATENT

Sir:

1. Patentee hereby requests/petitions to state the second assignee's name **Cambridge University Technical Services Limited** on the above-identified patent by issuing the attached Certificate of Correction.
2. The failure to include the second assignee's name on the PTOL-85B form was inadvertent.
3. The Assignment to both assignees was sent to the USPTO for recording prior to the patent issuing. Unfortunately, the USPTO failed to record **Cambridge**

University Technical Services Limited as an assignee, even though the Assignment cover sheet reflected two assignees. This error was corrected as reflected in the attached "Corrected Notice" of the Notice of Recordation of Assignment Document.

4. Please forward the attached request for a Certificate of Correction to the Certificates of Corrections branch, if the Petition is granted.

5. The processing fee of \$130 (37 C.F.R. § 1.17(h)) and the Certificate of Correction fee of \$100.00 (37 C.F.R. § 120) are paid as follows:

☐ Attached is a ☐ check ☐ money order in the amount of \$_____

☒ Authorization is hereby made to charge the amount of \$230.00

☒ to Deposit Account No. 08-0750

☐ to credit card as shown on the attached credit card information authorization form PTO-2038.

WARNING: Credit card information should not be included on this form as it may become public.

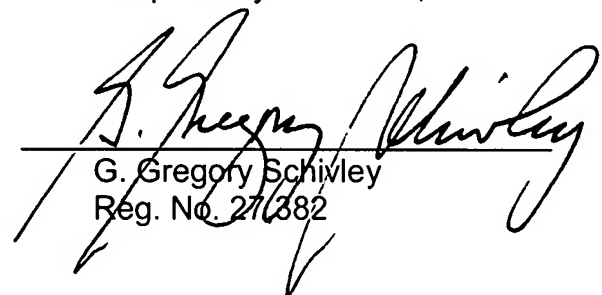
☒ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

6. Favorable consideration of this Petition is requested.

Respectfully submitted,

Dated: June 20, 2007

By:


G. Gregory Schivley
Reg. No. 27382

HARNESS, DICKEY & PIERCE, P.L.C.
P.O. Box 828
Bloomfield Hills, Michigan 48303
(248) 641-1600

GGs/msm

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : 7,098,145

APPLICATION NO: 10/006,794

DATED : December 4, 2001

INVENTOR(S) : Hitoshi Fukushima, et al.

It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

Title Page (73)

After "Assignee: Seiko Epson Corporation (JP)",
please insert --Cambridge University Technical Services Limited (UK)--

MAILING ADDRESS OF SENDER: Harness, Dickey & Pierce, P.L.C.
P.O. Box 828
Bloomfield Hills, MI 48303

PATENT NO. 7,098,145

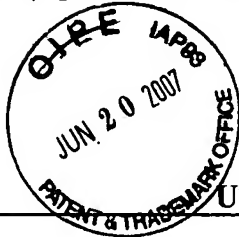
No. of additional copies



This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

9319S-000311/CPA



CORRECTED
NOTICE

✓GGS
✓BEW
✓JAH

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUNE 07, 2007

PTAS

G. GREGORY SCHIVLEY/ BRYANT E. WADE
HARNES, DICKEY & PIERCE, P.L.C.
P.O. BOX 828
BLOOMFIELD HILLS, MI 48303

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JUN 27 2007

OFFICE OF PETITIONS

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/17/2002

REEL/FRAME: 019176/0308
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 9319S-000311/CPA

ASSIGNOR:
FUKUSHIMA, HITOSHI

DOC DATE: 03/05/2002

ASSIGNOR:
MIYASHITA, SATORU

DOC DATE: 03/15/2002

ASSIGNOR:
ISHIDA, MASAYA

DOC DATE: 03/15/2002

ASSIGNOR:
HOLMES, ANDREW

DOC DATE: 05/16/2002

ASSIGNOR:
HUCK, WILHELM

DOC DATE: 05/21/2002

ASSIGNOR:
LUSCOMBE, CHRISTINE K.

DOC DATE: 05/19/2002

ASSIGNEE:

SEIKO EPSON CORPORATION
4-1, NISHI-SHINJUKU 2-CHOME,
SHINJUKU-KU
TOKYO, JAPAN

ASSIGNEE:

CAMBRIDGE UNIVERSITY TECHNICAL
SERVICES LIMITED
THE OLD SCHOOLS, TRINITY LANE
CAMBRIDGE, UNITED KINGDOM CB2 1TS

SERIAL NUMBER: 10006794

FILING DATE: 12/04/2001

PATENT NUMBER: 7098145

ISSUE DATE: 08/29/2006

TITLE: FABRICATION OF SELF-ASSEMBLED MONOLAYERS

DOROTHY WILLIAMS, PARALEGAL
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION